

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/836,116	
	Filing Date	April 16, 2001	
	First Named Inventor	Anthony H. Otto	
	Group Art Unit	2876	
	Examiner Name	N/A	
Total Number of Pages in This Submission	35	Attorney Docket Number	BENCH 56404

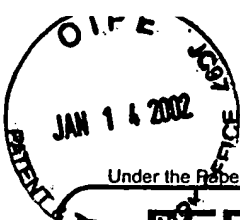
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) (28 sheets) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard
Remarks		 24201 PATENT TRADEMARK OFFICE

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	David S. Sarisky, Registration No. 41,288 FULWIDER PATTON LEE & UTECHT, LLP
Signature	
Date	October 15, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 10/15/01			
Typed or printed name	David S. Sarisky		
Signature		Date	October 15, 2001

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#6

PTO/SB/17 (10-01)

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FEE TRANSMITTAL for FY 2002		Complete if Known		
		Application Number	09/836,116	
Patent fees are subject to annual revision.		Filing Date	April 16, 2001	
		First Named Inventor	Anthony H. Otto	
		Examiner Name	N/A	
		Group Art Unit	2876	
TOTAL AMOUNT OF PAYMENT	(\$)	200.00	Attorney Docket No.	BENCH 56404

METHOD OF PAYMENT		FEE CALCULATION (continued)																									
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 06-2425 Deposit Account Name: FULWIDER PATTON ... <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES																									
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other																											
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1. BASIC FILING FEE																											
<table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101 740</td><td>201 370</td><td>Utility filing fee</td><td></td></tr><tr><td>106 330</td><td>206 165</td><td>Design filing fee</td><td></td></tr><tr><td>107 510</td><td>207 255</td><td>Plant filing fee</td><td></td></tr><tr><td>108 740</td><td>208 370</td><td>Reissue filing fee</td><td></td></tr><tr><td>114 160</td><td>214 80</td><td>Provisional filing fee</td><td></td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101 740	201 370	Utility filing fee		106 330	206 165	Design filing fee		107 510	207 255	Plant filing fee		108 740	208 370	Reissue filing fee		114 160	214 80	Provisional filing fee			
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2. EXTRA CLAIM FEES																											
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109 84	209 42	** Reissue independent claims over original patent																									
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		Fee Description																									
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		105 130 205 65 Surcharge - late filing fee or oath																									
		127 50 227 25 Surcharge - late provisional filing fee or cover sheet																									
		139 130 139 130 Non-English specification																									
		147 2,520 147 2,520 For filing a request for ex parte reexamination																									
		112 920* 112 920* Requesting publication of SIR prior to Examiner action																									
		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action																									
		115 110 215 55 Extension for reply within first month																									
		116 400 216 200 Extension for reply within second month																									
		117 920 217 460 Extension for reply within third month																									
		118 1,440 218 720 Extension for reply within fourth month																									
		128 1,960 228 980 Extension for reply within fifth month																									
		119 320 219 160 Notice of Appeal																									
		120 320 220 160 Filing a brief in support of an appeal																									
		121 280 221 140 Request for oral hearing.																									
		138 1,510 138 1,510 Petition to institute a public use proceeding																									
		140 110 240 55 Petition to revive - unavoidable																									
		141 1,280 241 640 Petition to revive - unintentional																									
		142 1,280 242 640 Utility issue fee (or reissue)																									
		143 460 243 230 Design issue fee																									
		144 620 244 310 Plant issue fee																									
		122 130 122 130 Petitions to the Commissioner																									
		123 50 123 50 Processing fee under 37 CFR 1.17(q)																									
		126 180 126 180 Submission of Information Disclosure Stmt																									
		581 40 581 40 Recording each patent assignment per property (times number of properties)																									
		146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))																									
		149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))																									
		179 740 279 370 Request for Continued Examination (RCE)																									
		169 900 169 900 Request for expedited examination of a design application																									
		Other fee (specify)																									
		SUBTOTAL (3) (\$)																									
		200.00																									

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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	David S. Sarisky	Registration No. (Attorney/Agent)	41,288
Signature	<i>David S. Sarisky</i>	Telephone	310-824-5555
		Date	October 15, 2001

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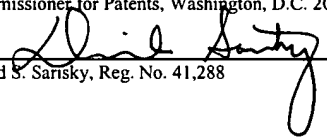
#3



PATENT

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David S. Sarisky, Reg. No. 41,288

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re Application of:

ANTHONY H. OTTO

Serial No. 09/836,116

Filed: April 16, 2001

For: SMART CARD FOR STORAGE AND
RETRIEVAL OF DIGITALLY
COMPRESSED COLOR IMAGES

)
)
) Group Art Unit: 2876
)
) Docket No: BENCH-56404
)
)
)
) October 15, 2001
) Los Angeles, California 90045
)

RESPONSE TO NOTICE OF INCOMPLETE REPLY

Commissioner for Patents
Washington, D.C. 20231

Sir:

This is in reply to the Notice of Incomplete Reply - Filing Date Granted, dated September 19, 2001 (copy attached), applicant submits herewith substitute drawings, Figures 1 - 22.

A petition for a two-month extension of time to respond accompanies this response.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP



David S. Sarisky, Registration No. 41,288

DSS:rvw

Enclosures: Return Postcard
Copy of Notice
Petition for Two-Month Extension
Check (\$200.00)
Drawings (28 sheets)

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/836,116	04/16/2001	Anthony H. Otto	BENCH-56404

24201
FULWIDER PATTON LEE & UTECHT, LLP
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6060 CENTER DRIVE
TENTH FLOOR
LOS ANGELES, CA 90045



CONFIRMATION NO. 8588

FORMALITIES LETTER



OC000000006579161

Date Mailed: 09/19/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, doesnot include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

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